

Notions of isoclinism for rings, with applications

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Noncommutative Rings and their Applications
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- Here we discuss a **different**, and flexible, concept of isoclinism/isologism.
- Our concept is defined in a **universal algebra context** but has various applications in combinatorial ring theory.

Associativity and spectra

The formal “noncommutative polynomial” $f(X, Y) = aXY + bYX$, $a, b \in \mathbb{Z}$, is a symbol of

$$f^R : R \times R \rightarrow R, \quad f^R(x, y) := axy + byx,$$

defined whenever R is a PN (= *possibly nonassociative*) ring. Now let

$$\text{Pr}_f(R) := \frac{|\{(x, y) \in R \times R : f^R(x, y) = 0\}|}{|R|^2} \quad (\text{if } |R| < \infty).$$

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Associativity makes no difference for any of these spectra!

Theorem 1 (B.)

If $\mathcal{C}_1 := \{\text{finite rings}\}$, $\mathcal{C}_2 := \{\text{finite PN rings}\}$, and $f(X, Y) := aXY + bYX$, $a, b \in \mathbb{Z}$, then

$$\mathfrak{S}_f(\mathcal{C}_1) = \mathfrak{S}_f(\mathcal{C}_2).$$

Spectral containments

We use special names and notation for $\text{Pr}_f(R)$ and $\mathfrak{S}_f(\mathcal{C})$ in connection with two fundamental functions f of this type.

- $f(X, Y) = XY - YX$: **commuting probability** $\text{Pr}_c(R)$ and **commuting spectrum** $\mathfrak{S}_c(\mathcal{C})$;
- $f(X, Y) = XY$: **annihilating probability** $\text{Pr}_{\text{ann}}(R)$ and **annihilating spectrum** $\mathfrak{S}_{\text{ann}}(\mathcal{C})$.

Theorem 2 (B.)

If $\mathcal{C} := \{\text{finite rings}\}$, and $f(X, Y) := aXY + bYX$, $a, b \in \mathbb{Z}$, then

$$\mathfrak{S}_f(\mathcal{C}) \subseteq \mathfrak{S}_{\text{ann}}(\mathcal{C}).$$

Definitions

The **commuting probability** of a finite ring R is

$$\Pr_c(R) := \frac{|\{(x, y) \in R \times R : xy = yx\}|}{|R|^2}$$

If G is a finite group, similarly define $\Pr_c(G)$.

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- $\mathfrak{R} = \mathfrak{S}_c\{\text{finite (possibly non-unital) rings}\}$
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 - $\mathfrak{G} \cap (11/32, 1]$ completely understood (Rusin, 1979).

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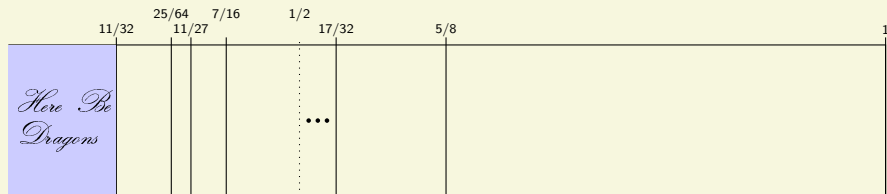
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- Much more known about groups e.g.
 - $\mathfrak{G} \cap (11/32, 1]$ completely understood (Rusin, 1979).
- Semigroups entirely different (MacHale, 1990; Ponomarenko and Selinski, 2012; B. 2013)

\mathcal{R} versus \mathcal{G}

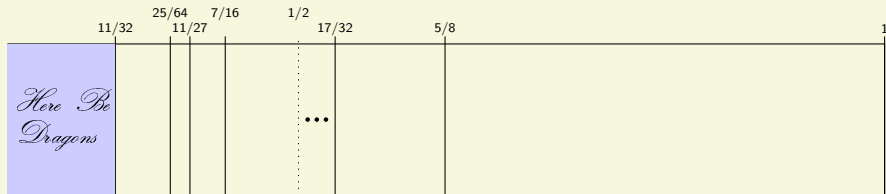
\mathfrak{R} versus \mathfrak{G}



$$\left\{ \frac{2^{2k} + 1}{2^{2k+1}} \mid k \in \mathbb{N} \right\} \cup \left\{ 1, \frac{7}{16}, \frac{11}{27}, \frac{25}{64}, \frac{11}{32} \right\}$$

Values of $\text{Pr}_c(R)$ in $[11/32, 1]$ (B.-MacHale-Ní Shé)

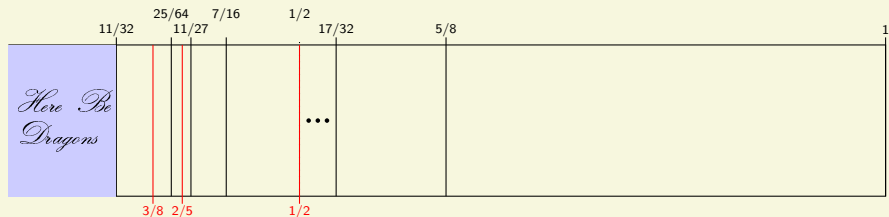
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Values of $\text{Pr}_c(R)$ in $[11/32, 1]$: R direct sum of \mathbb{Z}_p -algebras (*B.-MacHale-Ní Shé*)

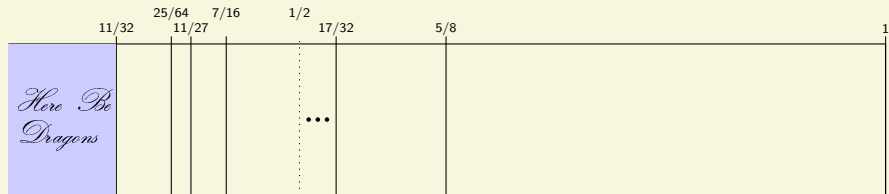
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Values of $\text{Pr}_c(G)$ in $[11/32, 1]$

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$$\left\{ \frac{2^{2k} + 1}{2^{2k+1}} \mid k \in \mathbb{N} \right\} \cup \left\{ 1, \frac{7}{16}, \frac{11}{27}, \frac{25}{64}, \frac{11}{32} \right\}$$

Values of $\text{Pr}_c(G)$ in $[11/32, 1]$: G nilpotent (class 2)

Large values of the commuting probability

We define

$$\alpha_p = \frac{p^2 + p - 1}{p^3}, \quad \beta_p = \frac{2p^2 - 1}{p^4}, \quad \text{and } \gamma_p = \frac{p^3 + p^2 - 1}{p^5}.$$

Note that

$$\gamma_p < \alpha_p^2 < \beta_p < \frac{1}{p} < \alpha_p, \quad p \geq 2.$$

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Theorem 3 (B.-MacHale-Ní Shé)

$$\mathfrak{A}_p \cap [\gamma_p, 1] = \{1\} \cup \left\{ \frac{p^{2k} + p - 1}{p^{2k+1}} \mid k \in \mathbb{N} \right\} \cup \{\beta_p, \alpha_p^2, \gamma_p\}.$$

$$\begin{aligned} \mathfrak{A} \cap [\gamma_2, 1] &= (\mathfrak{A}_2 \cap [\gamma_2, 1]) \cup \{\alpha_3\} \\ &= \{1\} \cup \left\{ \frac{2^{2k} + 1}{2^{2k+1}} \mid k \in \mathbb{N} \right\} \cup \left\{ \frac{7}{16}, \frac{11}{27}, \frac{25}{64}, \frac{11}{32} \right\}. \end{aligned}$$

Commuting probability and Z-isoclinism

Theorem 4 (B.-MacHale-Ní Shé)

$\text{Pr}(R) = t$ uniquely determines Z-isoclinism type of $R \in C$ if:

- $t \in \mathfrak{R}_p \cap (\gamma_p, 1]$, $C = \{p\text{-rings}\}$.
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- four $R/Z(R)$ group isomorphism types;
- three $[R, R]$ group isomorphism types.

First steps

$$\Pr(R) = \frac{1}{|R|} \sum_{x \in R} \frac{1}{|R/C(x)|} = \frac{1}{|R|^2} \sum_{x \in R} |C(x)|$$

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(sum over one representative of each coset)

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Observation

*For $x \in R$, additive groups $R/C(x)$ and $[x, R]$ are isomorphic.
In particular, if R is a \mathbb{Z}_p -algebra, $\dim R/C(x) = \dim[x, R]$.*

Z-Isoclinism

Definition

Rings R and S are *Z-isoclinic* if there are additive **group isomorphisms** $\phi : R/Z(R) \rightarrow S/Z(S)$ and $\psi : [R, R] \rightarrow [S, S]$ such that

$$\psi([u, v]) = [u', v']$$

whenever

$$\phi(u + Z(R)) = u' + Z(S) \quad \text{and} \quad \phi(v + Z(R)) = v' + Z(S).$$

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Kruse and Price's and Moneyhun's notions of isoclinism for rings and Lie algebras involve **ring isomorphisms**.

Isoclinism properties

- Z-isoclinism is an equivalence relation.
- Isomorphic \Rightarrow Z-isoclinic; converse false.
- Z-isoclinism class determines gp isomorphism classes of $R/Z(R)$ and $[R, R]$.
- Z-isoclinism induces group isomorphisms of $[x, R]$ subgroups.
- **If R and S are Z-isoclinic, then $\text{Pr}(R) = \text{Pr}(S)$.**

Universal algebras: definition

If S is any set, $S^{\times 0} := \{\emptyset\}$, and $S^{\times m}$ is the cartesian product of m copies of S , $m \in \mathbb{N}$.

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If g^A is n -ary, write $g^A(\underline{x})$ to mean $g^A(x_1, \dots, x_n)$.

Each x_i is a **coordinate** of \underline{x} .

The **coordinate set of \underline{x}** is $\text{CS}(\underline{x}) = \{x_1, \dots, x_n\}$.

Distributive algebras: definition

Suppose $(A, +)$ an abelian group, and g^A is n -ary, $n \in \mathbb{N}$.

g^A is **distributive over addition** if

$$g^A(\underline{z}) = g^A(\underline{x}) + g^A(\underline{y})$$

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Definition

Suppose I is an index set and $\rho : I \rightarrow \mathbb{N}$.

An **(I, ρ) -algebra** is an abelian group $(A, +)$ with $\rho(i)$ -ary operations g_i^A on A , $i \in I$, that are distributive over $+$ whenever $\rho(i) > 0$; A has **type** (I, ρ) .

A **distributive algebra** is an (I, ρ) -algebra for some type (I, ρ) .

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If $|I|$ is small, convenient to let $I = \{1, \dots, k\}$ and write the type as $[\rho(1), \dots, \rho(k)]$, so:

- PN rings and $[2]$ -algebras coincide;
- a unital PN ring is a special kind of $[2, 0]$ -algebra.

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- PN rings and $[2]$ -algebras coincide;
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The **reduced index set** I_0 consists of all $i \in I$ such that $\rho(i) > 0$, and $\rho_0 := \rho|_{I_0}$. (I_0, ρ_0) is the **reduced type corresponding to the type (I, ρ)** .

Ideals and quotients

Definition

An **ideal in an (I, ρ) -algebra A** is a subgp J of $(A, +)$ such that $g_i^A(\underline{x}) \in J$ whenever $i \in I_0$, $\underline{x} \in A^{\times \rho(i)}$, and $\text{CS}(\underline{x}) \cap J$ is nonempty.

We write $J \trianglelefteq A$ or $A \trianglerighteq J$.

An ideal in an (I, ρ) -algebra is an (I_0, ρ_0) -algebra.

Lemma

If $J \trianglelefteq A$, then A/J naturally has same type as A , with natural maps $g_i^{A/J}$.

Annihilators and product ideals

Definition

The **annihilator of A** is $\text{Ann}(A) = \bigcap_{i \in I_0} \text{Ann}(A; i)$, where

$$\text{Ann}(A; i) = \{a \in A \mid \forall \underline{x} \in A^{\times \rho(i)} : a \in \text{CS}(\underline{x}) \Rightarrow g_i^A(\underline{x}) = 0\}, \quad i \in I_0.$$

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$$\text{Ann}(A; i) = \{a \in A \mid \forall \underline{x} \in A^{\times \rho(i)} : a \in \text{CS}(\underline{x}) \Rightarrow g_i^A(\underline{x}) = 0\}, \quad i \in I_0.$$

Definition

The **product ideal of A** , $\pi(A)$, is the subgroup of $(A, +)$ generated by elements of $\pi(A; i)$, $i \in I_0$, where $\pi(A, i)$ is the subgroup of $(A, +)$ generated by $g_i^A(\underline{x})$, $\underline{x} \in A^{\times \rho(i)}$.

Annihilators and product ideals

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Null algebra: $\text{Ann}(A) = A$, or equivalently $\pi(A) = 0$.

Remark

$g_i^{A/\text{Ann}(A)}$ factors through A to give natural map $\tilde{g}_i^A : (A/\text{Ann}(A))^{\times n} \rightarrow A$.

Annihilator series

Definition

A finite sequence of ideals $(A_j)_{j=0}^m$, $m \geq 0$, in an (l, ρ) -algebra A is an **annihilator series (of length m)** if $A_0 = A$, $A_m = 0$,

$$A_0 \supseteq A_1 \supseteq \cdots \supseteq A_m$$

and $A_{j-1}/A_j \leq \text{Ann}(A/A_j)$ for $1 \leq i \leq m$.

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We can define **upper** and **lower annihilator series**, as done by Kruse and Price for rings.

Isoclinism

Definition

An **isoclinism** from one (I, ρ) -algebra A to another one B consists of a pair of additive group isomorphisms

$$\phi : A / \text{Ann}(A) \rightarrow B / \text{Ann}(B) \text{ and } \psi : \pi(A) \rightarrow \pi(B)$$

such that if $i \in I_0$, $\phi(x_j + \text{Ann}(A)) = y_j + \text{Ann}(B)$, $j = 1, \dots, \rho(i)$, then

$$\psi(g_i^A(\underline{x})) = g_i^B(\underline{y}).$$

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Alternative definition:

$$\begin{array}{ccc} (A / \text{Ann}(A))^{\otimes n} & \xrightarrow[\cong]{\phi^{\otimes n}} & (B / \text{Ann}(B))^{\otimes n} \\ \downarrow g_i^{A; \otimes} & & \downarrow g_i^{B; \otimes} \\ \pi(A; i) & \xrightarrow[\cong]{\psi|_{\pi(A, i)}} & \pi(B; i) \end{array}$$

A and B are isoclinic via (ϕ, ψ) if and only if the above diagram is commutative for each $i \in I_0$ and $n := \rho(i)$.

Isoclinism: basics

Theorem

- *Isoclinism is an equivalence relation on distributive algebras of any given type; equivalence classes are called **isoclinism families**.*
- *All null algebras of a given type are isoclinic.*
- *If (ϕ_j, ψ_j) is an isoclinism from one (I, ϕ) -algebra A_j to another one B_j , for all $j \in J \neq \emptyset$, then $\prod_{j \in J} A_j$ is isoclinic to $\prod_{j \in J} B_j$, and $\bigoplus_{j \in J} A_j$ is isoclinic to $\bigoplus_{j \in J} B_j$.*
- *Isomorphic algebras are isoclinic.*

Canonical form

Definition

A distributive algebra A has **canonical form** if:

- 1 $(A, +)$ is the internal direct sum of subgroups A_1 and A_2 .
- 2 $\pi(A) = \text{Ann}(A) = A_2$.

A canonical form member of an isoclinism family is called a **canonical relative** of all algebras in that family.

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Theorem

- *Canonical relatives exist and are unique (up to isomorphism).*
- *Distributive algebras A and B are isoclinic if and only if their canonical relatives $\text{Can}(A)$ and $\text{Can}(B)$ are isomorphic.*
- *A canonical form distributive algebra is nilpotent of exponent ≤ 2 .*
- *Nilpotency is not an isoclinism invariant.*

Invariant probability functions

Let

$$\Pr(A; g_i^A, n) := \frac{|\{\underline{a} \in A^{\times n} : g_i^A(\underline{a}) = 0\}|}{|A|^n}.$$

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Suppose (ϕ, ψ) is an isoclinism from one finite (I, ρ) -algebra A to another B . Then $\Pr(A; g_i^A, n) = \Pr(B; g_i^B, n)$ for all $i \in I$ and $n := \rho(i)$.

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The argument in the above lemma can be generalized. In particular, we can replace g_i^A by $f^A : A^{\times m} \rightarrow A$, where

$$f^A(x_1, \dots, x_m) := g_i^A\left(\sum_{j=1}^m a_{1j}x_j, \dots, \sum_{j=1}^m a_{mj}x_j\right),$$

One simple example is $f^A(x) = g^A(x, x)$ in a PN ring A where $g^A(x, y) = xy$. So the proportion of **nilpotents** (elements satisfying $x^2 = 0$) is an isoclinism invariant for PN rings.

Spectral identity

Theorem

Let \mathcal{C}_0 , \mathcal{C}_1 , and \mathcal{C}_2 be the classes of all finite nilpotent rings of exponent at most 2, all finite rings, and all finite PN rings, respectively. Then for all $f(X, Y) := aXY + bYX$, $a, b \in \mathbb{Z}$, and all classes \mathcal{C} such that $\mathcal{C}_0 \subseteq \mathcal{C} \subseteq \mathcal{C}_2$,

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Above theorem works for other function symbols f such as $f(X) = X^2$, so **the sets of possible dinilpotent proportions in finite rings and in finite PN rings coincide.**

However idempotent proportion is not an isoclinism invariant and **the sets of possible idempotent proportions in finite rings and in finite PN rings do not coincide** (B.-Yu. Zelenyuk; work in progress)