

# Non-Standard Coding Theory

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# Rosenbloom-Tsfasman Metric

Codes with the Rosenbloom-Tsfasman Metric

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A linear code is a subspace of  $Mat_{n,s}(\mathbb{F}_q)$ .

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Let  $n = 1$  and  $\omega = (\xi_1, \xi_2, \dots, \xi_s) \in Mat_{1,s}(\mathbb{F}_q)$ . Then, we put  $\rho(0) = 0$  and

$$\rho(\omega) = \max\{i \mid \xi_i \neq 0\} \quad (1)$$

for  $\omega \neq 0$ .

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for  $\omega \neq 0$ .

Ex:  $\rho(1, 0, 0, 1, 0) = 4$ .

## Rosenbloom-Tsfasman Metric

Now let  $\Omega = (\omega_1, \dots, \omega_n)^T \in \text{Mat}_{n,s}(\mathbb{F}_q)$ ,  $\omega_j \in \text{Mat}_{1,s}(\mathbb{F}_q)$ ,  $1 \leq j \leq n$ , and  $(\cdot)^T$  denotes the transpose of a matrix. Then, we put

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Ex:

$$\rho \left( \begin{pmatrix} 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix} \right) = 5 + 2 + 4 + 1 = 12.$$

# Weight Distribution

For a given linear code  $C \subset \text{Mat}_{n,s}(\mathbb{F}_q)$  the following set of nonnegative integers

$$w_r(C) = |\{\Omega \in C \mid \rho(\Omega) = r\}|, \quad 0 \leq r \leq ns \quad (3)$$

is called the  $\rho$  weight spectrum of the code  $C$ .

# Weight Enumerator

Define the  $\rho$  weight enumerator by

$$W(C|z) = \sum_{r=0}^{ns} w_r(C)z^r = \sum_{\Omega \in C} z^{\rho(\Omega)} \quad (4)$$

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Note that if  $s = 1$ , it reduces to the Hamming weight enumerator.

# Inner-Product

Introduce the following innerproduct on  $Mat_{n,s}(\mathbb{F}_q)$ . At first, let  $n = 1$  and  $\omega_1 = (\xi'_1, \dots, \xi'_s)$ ,  $\omega_2 = (\xi''_1, \dots, \xi''_s) \in Mat_{1,s}(\mathbb{F}_q)$ .

Then we put

$$\langle \omega_1, \omega_2 \rangle = \langle \omega_2, \omega_1 \rangle = \sum_{i=1}^s \xi'_i \xi''_{s+1-i} \quad (5)$$

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Ex:  $q = 5$ ,

$$\langle (1, 2, 1, 3, 4), (2, 1, 4, 3, 4) \rangle = 1(4) + 2(3) + 1(4) + 3(1) + 4(2) = 3.$$

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Note that this is a non-standard inner-product on rows.

# Inner-Product

Now, let

$\Omega_i = (\omega_i^{(1)}, \dots, \omega_i^{(n)})^T \in \text{Mat}_{n,s}(\mathbb{F}_q)$ ,  $i = 1, 2$ ,  $\omega_i^{(j)} \in \text{Mat}_{1,s}(\mathbb{F}_q)$ ,  
 $1 \leq j \leq n$ . Then we put

$$\langle \Omega_1, \Omega_2 \rangle = \langle \Omega_2, \Omega_1 \rangle = \sum_{j=1}^n \langle \omega_1^{(j)}, \omega_2^{(j)} \rangle \quad (6)$$



# Orthogonal

Let  $C \subset \text{Mat}_{n,s}(\mathbb{F}_q)$ .  $C^\perp \subset \text{Mat}_{n,s}(\mathbb{F}_q)$  is defined by

$$C^\perp = \{\Omega_2 \in \text{Mat}_{n,s}(\mathbb{F}_q) \mid \langle \Omega_2, \Omega_1 \rangle = 0 \text{ for all } \Omega_1 \in C\}. \quad (7)$$

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We have

$$d + d^\perp = ns, \quad |C||C^\perp| = q^{ns}, \quad |C| = q^d, \quad |C^\perp| = q^{ns-d}, \quad (8)$$

where  $d$  is the dimension of  $C$  and  $d^\perp$  is the dimension of  $C^\perp$ .

# Examples

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$$C_1 = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} \right\}, C_2 = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\} \quad (9)$$

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Both codes have  $\rho$  weight enumerator

$$1 + z^2 \quad (10)$$

# Duals

$$C_1^\perp = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \right. \\ \left. \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} \right\}$$

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$$C_2^\perp = \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \right. \\ \left. \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$$



# Weight Enumerators

The  $\rho$  weight enumerator for  $C_1^\perp$  and  $C_2^\perp$  turns out to be different:

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Therefore, the  $\rho$  weight enumerators cannot be related by a MacWilliams type relation.

## Is it a problem with the inner-product

We shall compare the first innerproduct with the common one:

$$[\omega_1, \omega_2] = \sum_{i=1}^s \xi_i' \xi_i'' . \quad (11)$$

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Consider two linear codes  $C_1$  and  $C_2 \subset \text{Mat}_{1,4}(\mathbb{F}_2)$ ,

$$C_1 = \{0000, 1100, 1001, 0101\}, \quad C_2 = \{0000, 0100, 0001, 0101\}.$$

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Notice that these codes have the same  $\rho$  weight enumerators:

$$W(C_i | z) = W(C_i^\perp | z) = 1 + z^2 + 2z^4, \quad i = 1, 2. \quad (12)$$

## Is it a problem with the inner-product

Denote by  $C_1^*$  and  $C_2^*$  codes dual to  $C_1$  and  $C_2$  with respect to the common inner product. We have

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The  $\rho$  weight enumerators are different:

$$W(C_1^* | Z) = 1 + z^3 + 2z^4, \quad W(C_2^* | z) = 1 + z + 2z^3. \quad (13)$$

## Is it a problem with the inner-product

Therefore, the  $\rho$  weight enumerators  $W(C | z)$  and  $W(C^* | z)$  cannot be related by a MacWilliams-type identity with the common inner-product.

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Therefore, the  $\rho$  weight enumerators  $W(C | z)$  and  $W(C^* | z)$  cannot be related by a MacWilliams-type identity with the common inner-product.

It is not a problem with the inner-product but rather with the weights.

## T-Weight Enumerator

$$T(C \mid Z_1, \dots, Z_n) = \sum_{\Omega \in C} \Upsilon(\Omega \mid Z_1, \dots, Z_n) \quad (14)$$

where  $\Upsilon(\Omega) = z_{a_1}^{(1)} z_{a_2}^{(2)} \dots z_{a_n}^{(n)}$  and  $\rho(\omega_j) = a_j$ ,  $1 \leq j \leq n$ .

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The  $Z_i$  are  $n$  complex vectors with  $s + 1$  components,  
 $Z_j = (z_0^{(j)}, \dots, z_s^{(j)})$ .

# T-Weight Enumerator

Example:

$$\gamma \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{pmatrix} = z_3^1 z_4^2 z_1^3 z_2^4$$

## *H*-Weight Enumerator

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Notice that the first enumerator is a polynomial of degree at most one in each of  $n(s+1)$  variables  $z_i^{(j)}$ ,  $0 \leq i \leq s$ ,  $1 \leq j \leq n$ , while the second enumerator has degree at most  $n$  in each of  $s+1$  variables  $z_i$ ,  $0 \leq i \leq s$ .

# Linear Transformation

Introduce a linear transformation

$$\Theta_s : \mathbb{C}^{s+1} \rightarrow \mathbb{C}^{s+1}$$

by setting

$$Z' = \Theta_s Z,$$

where

$$z'_0 = z_0 + (q-1)z_1 + q(q-1)z_2 + q^2(q-1)z_3 + \dots + q^{s-2}(q-1)z_{s-1} + q^{s-1}(q-1)z_s$$

# Linear Transformation

$$z'_1 = z_0 + (q - 1)z_1 + q(q - 1)z_2 + q^2(q - 1)z_3 + \dots + q^{s-2}(q - 1)z_{s-1} + -q^{s-1}z_s$$

...

$$z'_{s-2} = z_0 + (q - 1)z_1 + q(q - 1)z_2 - q^2z_3$$

$$z'_{s-1} = z_0 + (q - 1)z_1 - qz_2$$

$$z'_s = z_0 - z_1$$

# Linear Transformation

We assume that  $Z = (z_0, z_1, z_2, \dots)$  is an infinite sequence with  $z_i = 0$  for  $i > s$ .

Thus the  $s + 1$  by  $s + 1$  matrix  $\Theta_s = \|\theta_{lk}\|$ ,  $0 \leq l, k \leq s$ , has the following entries

# Linear Transformation

$$\theta_{lk} = \begin{cases} 1 & \text{if } l = 0, \\ q^{l-1}(q-1) & \text{if } 0 < l \leq s-k, \\ -q^{l-1} & \text{if } l+k = s+1, \\ 0 & \text{if } l+k > s+1. \end{cases}$$

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$$\Theta_1 = \begin{pmatrix} 1 & q-1 \\ 1 & -1 \end{pmatrix}$$

$$\Theta_2 = \begin{pmatrix} 1 & q-1 & q(q-1) \\ 1 & q-1 & -q \\ 1 & -1 & 0 \end{pmatrix}$$

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$$\Theta_3 = \begin{pmatrix} 1 & q-1 & q(q-1) & q^2(q-1) \\ 1 & q-1 & q(q-1) & -q^2 \\ 1 & q-1 & -q & 0 \\ 1 & -1 & 0 & 0 \end{pmatrix}$$



# MacWilliams Relations

## Theorem

*The  $T$ -enumerators of mutually dual linear codes  $C$ ,  $C^\perp \subset \text{Mat}_{n,s}(F_q)$  are related by*

$$T(C^\perp \mid Z_1, \dots, Z_n) = \frac{1}{|C|} T(C \mid \Theta_s Z_1, \dots, \Theta_s Z_n).$$

# MacWilliams Relations

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*The  $H$ -enumerator of mutually dual linear codes  $C$ ,  $C^\perp \subset \text{Mat}_{n,s}(F_q)$  are related by*

$$H(C^\perp | Z) = \frac{1}{|C|} H(C | \Theta_s Z)$$

# MacWilliams Relations

Hence by expanding the amount of information in the weight enumerator MacWilliams relations can be found!

# Singleton Bound

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If the code is linear (i.e.  $\mathfrak{A}$  is a finite ring and the code is a submodule) then  $\rho(C) = \min\{\rho(\Omega) \mid \Omega \in C, \Omega \neq \mathbf{0}\}$  where  $\rho(\Omega) = \rho(\Omega, \mathbf{0})$ .

# Singleton Bound

## Theorem

*Let  $A$  be any finite alphabet with  $q$  elements and let  $C \subset \text{Mat}_{n,s}(A)$ , be an arbitrary code, then*

$$|C| \leq q^{n-d+1}.$$

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## Proof.

Mark the first  $d - 1$  positions lexicographically. Two elements of  $C$  never coincide in all other positions since otherwise the distance between them would be less than  $d$ . Hence  $|C| \leq q^{n-d+1}$ .  $\square$

# Singleton Bound

## Corollary

Let  $C \subset \text{Mat}_{n,s}(A)$ , where  $|A| = q$ , be an arbitrary code consisting of  $q^k$ ,  $0 \leq k \leq ns$ , points. Then

$$\rho(C) \leq ns - k + 1.$$



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## Corollary

Let  $C \subset \text{Mat}_{n,s}(A)$ , where  $|A| = q$ , be an arbitrary code consisting of  $q^k$ ,  $0 \leq k \leq ns$ , points. Then

$$\rho(C) \leq ns - k + 1.$$

Naturally, we define a code meeting this bound as a Maximum Distance Separable Code with respect to the  $\rho$  metric.

# MDS Codes

## Theorem

(Skriganov) If  $C$  is a linear MDS code in  $\text{Mat}_{n,s}(F_q)$ , then  $C^\perp$  is also an MDS code.

# MDR Bound

## Theorem

If  $C$  is a linear code in  $\text{Mat}_{n,s}(\mathbb{Z}_k)$  of rank  $h$ , then

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*If  $C$  is a linear code in  $\text{Mat}_{n,s}(\mathbb{Z}_k)$  of rank  $h$ , then*

$$\rho(C) \leq ns - h + 1.$$

Codes meeting this bound are called MDR codes.

# MDR Codes

## Theorem

*Let  $C_1, C_2, \dots, C_r$  be linear codes in  $\text{Mat}_{n,s}(\mathbb{Z}_{k_1}), \dots, \text{Mat}_{n,s}(\mathbb{Z}_{k_r})$ , respectively, where  $k_1, \dots, k_r$  are positive integers with  $\gcd(k_i, k_j) = 1$  for  $i \neq j$ . If  $C_i$  is an MDR code for all  $i$ , then  $C = \text{CRT}(C_1, C_2, \dots, C_r)$  is an MDR code.*

# Uniform Distributions

Let  $U$  denote the interval  $[0, 1)$  and

$$\Delta_A^M = \left[ \frac{m_1}{k^{a_1}}, \frac{m_1 + 1}{k^{a_1}} \right) \cdots \left[ \frac{m_n}{k^{a_n}}, \frac{m_n + 1}{k^{a_n}} \right) \subset U^n$$

an elementary box, where  $M = (m_1, \dots, m_n)$  and  $A = (a_1, \dots, a_n)$ .

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an elementary box, where  $M = (m_1, \dots, m_n)$  and  $A = (a_1, \dots, a_n)$ .

## Definition

Given an integer  $0 \leq h \leq n$ , a subset  $D \subset U^n$  consisting of  $k^h$  points is called an optimum  $[ns, h]_s$  distribution in base  $k$  if each elementary box  $\Delta_A^M$  of volume  $k^{-h}$  contains exactly one point of  $D$ .

# Uniform Distributions

For a point  $X$  in  $Q^n(k^s)$  define the following matrix which is an element of  $Mat_{n,s}(Z_k)$ :

$$\Omega\langle X \rangle = (\omega(x_1), \omega(x_2), \dots, \omega(x_n))^T$$

where

$$\omega\langle x \rangle = (\xi_1(x), \xi_2(x), \dots, \xi_s(x))$$

and  $x = \sum_{i=1}^s \xi_i(x) k^{i-s-1}$ .



# Uniform Distributions

## Theorem

*Let  $C$  be an optimum distribution in  $Q^n(k^s)$  for any  $k$  and  $C$  its corresponding code then the following are equivalent:*

- ▶  *$D$  is an optimum  $[ns, \lambda]_s$  distribution in base  $k$*
- ▶  *$C$  is an MDS code in the  $\rho$  metric in  $\text{Mat}_{n,s}(Z_k)$ .*

## Codes over $\mathbb{Z}_2\mathbb{Z}_4$ and their Gray Map

# Delsarte

Delsarte defines additive codes as subgroups of the underlying abelian group in a translation association scheme.

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For the binary Hamming scheme, the only structures for the abelian group are those of the form  $\mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$ , with  $\alpha + 2\beta = n$ .

# Delsarte

Delsarte defines additive codes as subgroups of the underlying abelian group in a translation association scheme.

For the binary Hamming scheme, the only structures for the abelian group are those of the form  $\mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$ , with  $\alpha + 2\beta = n$ .

Thus, the subgroups  $\mathcal{C}$  of  $\mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$  are the only additive codes in a binary Hamming scheme.

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for any  $\mathbf{x} \in \mathbb{Z}_2^\alpha$  and any  $\mathbf{y} = (y_1, \dots, y_\beta) \in \mathbb{Z}_4^\beta$ , where  $\phi : \mathbb{Z}_4 \longrightarrow \mathbb{Z}_2$  is the usual Gray map.

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The map  $\Phi$  is an isometry which transforms Lee distances in  $\mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$  to Hamming distances in  $\mathbb{Z}_2^{\alpha+2\beta}$ .



# Weights

Denote by  $wt_H(\mathbf{v}_1)$  the Hamming weight of  $\mathbf{v}_1 \in \mathbb{Z}_2^\alpha$  and by  $wt_L(\mathbf{v}_2)$  the Lee weight of  $\mathbf{v}_2 \in \mathbb{Z}_4^\beta$ .

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For a vector  $\mathbf{v} = (\mathbf{v}_1, \mathbf{v}_2) \in \mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$ , define the weight of  $\mathbf{v}$ , denoted by  $wt(\mathbf{v})$ , as  $wt_H(\mathbf{v}_1) + wt_L(\mathbf{v}_2)$ , or equivalently, the Hamming weight of  $\Phi(\mathbf{v})$ .

# Generator Matrix

The generator matrix for a  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code  $\mathcal{C}$  of type  $(\alpha, \beta; \gamma, \delta; \kappa)$ :

$$\mathcal{G}_S = \left( \begin{array}{cc|ccc} I_\kappa & T' & 2T_2 & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & 2T_1 & 2I_{\gamma-\kappa} & \mathbf{0} \\ \mathbf{0} & S' & S & R & I_\delta \end{array} \right),$$

where  $T', T_1, T_2, R, S'$  are matrices over  $\mathbb{Z}_2$  and  $S$  is a matrix over  $\mathbb{Z}_4$ .

# Inner-Product

The following inner product is defined for any two vectors  $\mathbf{u}, \mathbf{v} \in \mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta$ :

$$\langle \mathbf{u}, \mathbf{v} \rangle = 2\left(\sum_{i=1}^{\alpha} u_i v_i\right) + \sum_{j=\alpha+1}^{\alpha+\beta} u_j v_j \in \mathbb{Z}_4.$$

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The *additive dual code* of  $\mathcal{C}$ , denoted by  $\mathcal{C}^\perp$ , is defined in the standard way

$$\mathcal{C}^\perp = \{\mathbf{v} \in \mathbb{Z}_2^\alpha \times \mathbb{Z}_4^\beta \mid \langle \mathbf{u}, \mathbf{v} \rangle = 0 \text{ for all } \mathbf{u} \in \mathcal{C}\}.$$

# MacWilliams Relations

Define

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$$WL(x, y) = \sum_{\mathbf{c} \in C} x^{n - wt_L(\mathbf{c})} y^{wt_L(\mathbf{c})}.$$

**Theorem**

Let  $C$  be a  $\mathbb{Z}_2\mathbb{Z}_4$  code, then

$$WL_{C^\perp}(x, y) = \frac{1}{|C|} WL_C(x + y, x - y).$$

# Bounds

## Theorem

Let  $C$  be a  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code of type  $(\alpha, \beta; \gamma, \delta; \kappa)$ , then

$$\frac{d(C) - 1}{2} \leq \frac{\alpha}{2} + \beta - \frac{\gamma}{2} - \delta; \quad (15)$$

$$\left\lfloor \frac{d(C) - 1}{2} \right\rfloor \leq \alpha + \beta - \gamma - \delta. \quad (16)$$



# Separable

Let  $\mathcal{C}$  be a  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code. If  $\mathcal{C} = \mathcal{C}_X \times \mathcal{C}_Y$ , then  $\mathcal{C}$  is called *separable*.

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## Theorem

*If  $\mathcal{C}$  is a  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code which is separable, then the minimum distance is given by*

$$d(\mathcal{C}) = \min \{d(\mathcal{C}_X), d(\mathcal{C}_Y)\}.$$

# MDS

We say that a  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code  $\mathcal{C}$  is maximum distance separable (MDS) if  $d(\mathcal{C})$  meets the bound given in The usual Singleton bound for a code  $\mathcal{C}$  of length  $n$  over an alphabet of size  $q$  is given by

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In the first case, we say that  $\mathcal{C}$  is MDS with respect to the Singleton bound, briefly MDSS. If it meets the second bound,  $\mathcal{C}$  is MDS with respect to the rank bound, briefly MDSR.

## Theorem

Let  $\mathcal{C}$  be an MDSS  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code of type  $(\alpha, \beta; \gamma, \delta; \kappa)$  such that  $1 < |\mathcal{C}| < 2^{\alpha+2\beta}$ . Then  $\mathcal{C}$  is either

- (i) the repetition code of type  $(\alpha, \beta; 1, 0; \kappa)$  and minimum distance  $d(\mathcal{C}) = \alpha + 2\beta$ , where  $\kappa = 1$  if  $\alpha > 0$  and  $\kappa = 0$  otherwise; or
- (ii) the even code with minimum distance  $d(\mathcal{C}) = 2$  and type  $(\alpha, \beta; \alpha - 1, \beta; \alpha - 1)$  if  $\alpha > 0$ , or type  $(0, \beta; 1, \beta - 1; 0)$  otherwise.

# MDSR

## Theorem

Let  $\mathcal{C}$  be an MDSR  $\mathbb{Z}_2\mathbb{Z}_4$ -additive code of type  $(\alpha, \beta; \gamma, \delta; \kappa)$  such that  $1 < |\mathcal{C}| < 2^{\alpha+2\beta}$ . Then, either

- (i)  $\mathcal{C}$  is the repetition code as in (i) of Theorem 3 with  $\alpha \leq 1$ ; or
- (ii)  $\mathcal{C}$  is of type  $(\alpha, \beta; \gamma, \alpha + \beta - \gamma - 1; \alpha)$ , where  $\alpha \leq 1$  and  $d(\mathcal{C}) = 4 - \alpha \in \{3, 4\}$ ; or
- (iii)  $\mathcal{C}$  is of type  $(\alpha, \beta; \gamma, \alpha + \beta - \gamma; \alpha)$ , where  $\alpha \leq 1$  and  $d(\mathcal{C}) \leq 2 - \alpha \in \{1, 2\}$ .