



# Sets of lengths in maximal orders in central simple algebras

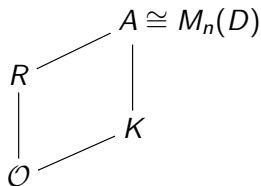
Daniel Smertnig

Institute of Mathematics and Scientific Computing  
University of Graz, Austria

Noncommutative rings and their applications III  
Lens, July 2<sup>nd</sup>, 2013

## What is this about?

Let  $K$  be a global field,  $A$  a central simple  $K$ -algebra,  $\mathcal{O}$  a holomorphy ring of  $K$ , and  $R$  a classical maximal  $\mathcal{O}$ -order in  $A$ .



Investigate factorizations of elements in  $R$ :

- ▶ Every  $a \in R^\bullet \setminus R^\times$  can be represented as a finite product of atoms (irreducibles).
- ▶ In general, this is far from being unique.
- ▶  $\Rightarrow$  Study non-uniqueness of factorizations by means of arithmetical invariants.

# Outline

1. Recall: Non-unique factorizations in commutative Krull domains [monoids].
2. Main results for maximal orders.
3. Abstract setting for these results and some sketch of their proof.

# Non-unique factorizations

Consider factorizations of elements into atoms.

## Goals

Use arithmetical invariants to

- ▶ describe the extent of non-uniqueness,
- ▶ describe features occurring as part of this non-uniqueness,
- ▶ and possibly characterize rings [monoids] inside a class by their arithmetic.

Has a rich history and well-developed theory & machinery in the commutative setting: In particular in Krull domains [monoids].

# (Commutative) Krull domains

## Definition

A *Krull monoid* is a commutative, cancellative monoid  $H$  that is

1. completely integrally closed, and
2.  $v$ -noetherian.

Equivalently, it is a saturated submonoid of a factorial monoid.

- ▶ A commutative domain  $R$  is a Krull domain  $\Leftrightarrow R^\bullet$  is a Krull monoid.
- ▶  $\mathcal{I}_v^*(R)$  is a free abelian monoid on the (non-zero) divisorial prime ideals.
- ▶  $R_{\text{red}}^\bullet = \{aR \mid a \in R^\bullet\} \subset \mathcal{I}_v^*(R)$  is a saturated submonoid.

## Idea

Study factorizations of  $a \in R^\bullet$  using the unique factorization of  $aR$  into divisorial prime ideals in  $\mathcal{I}_v^*(R)$ .

## Monoid of zero-sum sequences, I

Let  $G$  be an abelian group,  $G_0 \subset G$ ,  $(\mathcal{F}(G_0), \cdot)$  the free abelian monoid with basis  $G_0$ .

- ▶  $S = g_1 \cdot \dots \cdot g_l \in \mathcal{F}(G_0)$  is called a **sequence**.
- ▶  $\sigma(S) = g_1 + \dots + g_l \in G$  is its sum.
- ▶  $S$  is a **zero-sum sequence** if  $\sigma(S) = 0$ .

### Definition

The submonoid

$$\mathcal{B}(G_0) = \{ S \in \mathcal{F}(G_0) \mid \sigma(S) = 0_G \} \subset \mathcal{F}(G_0)$$

is called the **monoid of zero-sum sequences** over  $G_0$ .

- ▶  $\mathcal{B}(G)$  is a Krull monoid with divisor class group  $G$ , and every class contains a prime divisor.
- ▶ If  $G_0$  is finite, then  $\mathcal{B}(G_0)$  is a finitely generated Krull monoid (finitely many atoms, arithmetical invariants finite, ...)

## Some arithmetical invariants

Let  $a \in R^\bullet \setminus R^\times$ .

- ▶  $l \in \mathbb{N}$  is a **length** of  $a$  if there exist atoms  $u_1, \dots, u_l$  s.t.:

$$a = u_1 \cdot \dots \cdot u_l.$$

- ▶  $L(a) \subset \mathbb{N}_0$  denotes the **set of lengths** of  $a$ .
- ▶ If  $L(a) = \{l_1 < l_2 < \dots\}$ , then

$$\Delta(a) = \{l_i - l_{i-1} \mid \text{for all } i\}$$

is the **set of distances** of  $a$ .

- ▶  $\Delta(R^\bullet) = \bigcup_{a \in R^\bullet} \Delta(a)$  is the **set of distances** of  $R^\bullet$ .

## Sets of lengths

- ▶  $R$  is **half-factorial** if  $L(a)$  is a singleton for all  $a \in R^\bullet$ .
- ▶ If  $R$  is not half-factorial, sets of lengths are **not uniformly bounded**.

**Proof:** Let  $a \in R^\bullet$ , such that  $\{k < l\} \subset L(a)$ , say

$$a = u_1 \cdot \dots \cdot u_k = v_1 \cdot \dots \cdot v_l.$$

Then, for all  $n \in \mathbb{N}$ ,  $\nu \in [0, n]$ ,

$$a^n = (u_1 \cdot \dots \cdot u_k)^\nu (v_1 \cdot \dots \cdot v_l)^{n-\nu},$$

hence

$$\{k\nu + (l-k)(n-\nu) \mid \nu \in [1, n]\} \subset L(a^n).$$

### Remark

$R^\bullet$  is a **BF-Monoid** if  $L(a)$  is finite for all  $a \in R^\bullet$ . If  $R$  is a commutative domain [monoid] and  $\nu$ -noetherian, then it is BF.



# Transfer homomorphism

- ▶ Useful tool: Transfer homomorphism to a simpler monoid.
- ▶ Transfer homomorphisms preserve sets of lengths (and other arithmetical invariants).

## Theorem

Let  $H$  be a Krull monoid (e.g.  $H = R^\bullet$  where  $R$  is a Krull domain),  $G$  its divisor class group, and  $G_P = \{ [p] \mid p \in v\text{-max}(H) \} \subset G$  the set of classes containing prime divisors.

There is a **transfer homomorphism**  $\theta: H \rightarrow \mathcal{B}(G_P)$ :

$$\begin{array}{ccc} H_{red} \hookrightarrow \mathcal{I}_v^*(H) = \mathcal{F}(v\text{-max}(H)) & & aH^\times \longmapsto aH = p_1 \cdot_v \dots \cdot_v p_k \\ \theta_{red} \downarrow & & \theta_{red} \downarrow \qquad \qquad \qquad \downarrow \\ \mathcal{B}(G_P) \hookrightarrow \mathcal{F}(G_P) & & [p_1] \cdot \dots \cdot [p_k] \hookrightarrow [p_1] \cdot \dots \cdot [p_k] \end{array}$$

## Monoid of zero-sum sequences, II

$\mathcal{B}(G)$  provides an easier to study model for the factorization in  $R^\bullet$ . We get (for example):

### Corollary

*Let  $R$  be a Krull domain with divisor class group  $G$  in which every class contains a prime divisor.*

- 1.  $R$  is half-factorial  $\Leftrightarrow |G| \leq 2$ .*
- 2.  $\Delta(R^\bullet)$  is a finite interval with  $\min \Delta(R^\bullet) = 1$  (if non-empty).*
- 3.  $\mathcal{U}_k(R^\bullet)$  is a finite interval.*
- 4. Structure theorem for sets of lengths holds (sets of lengths are AAMPs with uniform bound  $M \in \mathbb{N}_0$  and difference  $d \in \Delta(R^\bullet)$ ).*

## Maximal orders: Main result, part I

Let  $K$  be a global field,  $\mathcal{O}$  a holomorphy ring in  $K$ ,  $A$  a central simple  $K$ -algebra and let  $R$  be a classical maximal  $\mathcal{O}$ -order in  $A$ .

$$\mathcal{P}_A = \{ a\mathcal{O} \mid a \in K^\times, a_v > 0 \text{ for all arch. places } v \text{ of } K \text{ with } A_v \text{ ramified.} \}$$

### Theorem 1

Suppose that **every stably free left  $R$ -ideal is free**. Then there exists a transfer homomorphism

$$\theta: R^\bullet \rightarrow \mathcal{B}(\mathcal{C}_A(\mathcal{O})),$$

with  $\mathcal{C}_A(\mathcal{O}) = \mathcal{F}^\times(\mathcal{O}) / \mathcal{P}_A$  a ray class group of  $\mathcal{O}$ .

## Maximal orders: Main result, part II

Let  $K$  be a number field,  $\mathcal{O} = \mathcal{O}_K$  its ring of algebraic integers.

### Theorem 2

Suppose that **there exist a stably free left  $R$ -ideal that is not free.**

Then there exists no transfer homomorphism  $\theta: R^\bullet \rightarrow \mathcal{B}(G_0)$ , where  $G_0$  is any subset of of an abelian group. Moreover,

1.  $\Delta(R^\bullet) = \mathbb{N}$ ,
2. For every  $k \geq 3$ ,  $\mathbb{N}_{\geq 3} \subset \mathcal{U}_k(R^\bullet) \subset \mathbb{N}_{\geq 2}$ .

## The condition of the theorems

By Eichler's Theorem, the condition of Theorem 1 can only be violated if:

- ▶  $K$  is an algebraic number field,  $(A : K) = 4$ , and  $A$  is ramified at every place of  $K$  not arising from  $\mathcal{O}$ , **or**
- ▶  $K$  is a function field, and  $A$  is ramified every place of  $K$  not arising from  $\mathcal{O}$ ,

Restrict to  $K$  a number field,  $\mathcal{O} = \mathcal{O}_K$  its ring of algebraic integers:

- ▶ If  $A$  is not a totally definite quaternion algebra, then Theorem 1 applies.
- ▶ If  $A$  is a totally definite quaternion algebra, in all but finitely many cases (all classified), Theorem 2 applies.

## Abstract setup (for rings)

### Remark

An approach with two-sided ideals seems to be limited to normalizing Krull monoids.

- ▶ Let  $Q$  be a quotient ring, and  $R$  a maximal order in  $Q$ .
- ▶ Write  $\alpha$  for the Asano-equivalence class of maximal orders equivalent to  $R$ .
- ▶ Let  $S \in \alpha$ ,  $I$  a fractional left [right]  $S$ -ideal:

$$\mathcal{O}_l(I) = \{x \in Q \mid xI \subset I\} = S \quad \mathcal{O}_r(I) = \{x \in Q \mid Ix \subset I\} [= S]$$

Set

$$I^{-1} = (\mathcal{O}_l(I):_r I) = (\mathcal{O}_r(I):_l I) = \{x \in Q \mid IxI \subset I\}.$$

$I$  is **divisorial** if

$$I = I_v := (I^{-1})^{-1}.$$

## Groupoid of divisorial fractional ideals

- ▶ Write  $\mathcal{F}_v(\alpha)$  for the set of all such divisorial fractional ideals,  $\mathcal{I}_v(\alpha)$  for the divisorial **integral** ideals.
- ▶ If  $I, J \in \mathcal{F}_v(\alpha)$  with  $\mathcal{O}_r(I) = \mathcal{O}_l(J)$ ,

$$I \cdot_v J := (IJ)_v.$$

- ▶ Maximality of  $\mathcal{O}_l(I)$ ,  $\mathcal{O}_r(I)$  implies

$$I \cdot_v I^{-1} = \mathcal{O}_l(I) \text{ and } I^{-1} \cdot_v I = \mathcal{O}_r(I).$$

### Theorem

$\mathcal{F}_v(\alpha)$  with  $\cdot_v$  as partial operation forms a **groupoid** (=category in which every morphism is an isomorphism),  $\mathcal{I}_v(\alpha)$  is a subcategory.

# Groupoid of divisorial fractional ideals

Assume

1.  $R$  satisfies the ACC on divisorial left [right]  $R$ -ideals;
2.  $R$  is bounded;
3. The lattice of divisorial fractional left [right]  $R$ -ideals is modular.

Then  $\mathcal{F}_v(\alpha)$  is “nice”.

## Strategy

To study  $a \in R^\bullet$ , study instead  $Ra$  in the subcategory

$$\mathcal{H}_{R^\bullet} = \{ d(Rb)d^{-1} \mid b \in R^\bullet, d \in Q^\times \}$$

of  $\mathcal{I}_v(\alpha)$ .



## Abstract norm

Provides an invariant for the factorizations of elements of  $\mathcal{I}_v(\alpha)$  into maximal ones.

- ▶ The divisorial fractional two-sided  $R$ -ideals form a free abelian group on the maximal divisorial two-sided  $R$ -ideals.
- ▶ If  $S \in \alpha$ , there is a canonical isomorphism between divisorial fractional two-sided  $R$ -ideals and divisorial fractional two-sided  $S$ -ideals. (vertex groups of the groupoid  $G = \mathcal{F}_v(\alpha)$ ): If  $I$  is a divisorial  $(R, S)$ -ideal,

$$\begin{aligned} \{ \text{div. frac. } R\text{-ideals} \} &\xrightarrow{\sim} \{ \text{div. frac. } S\text{-ideals} \} \\ X &\mapsto I^{-1} \cdot_v X \cdot_v I \end{aligned}$$

- ▶ Form  $\mathbb{G}$ , a “universal vertex group” by identifying these groups.

## Abstract norm, II

- ▶ Let  $M \in G$  be maximal integral,  $X$  the largest div. frac. two-sided  $\mathcal{O}_I(M)$ -ideal contained in  $M$ .
- ▶ Set  $\eta(M) = (X) \in \mathbb{G}$ .
- ▶ Extend multiplicatively to a homomorphism  $\eta: G \rightarrow \mathbb{G}$ .

### Remark

If  $R$  is a classical maximal  $\mathcal{O}$ -order in a CSA  $A$  over a global field  $K$ , there is a bijection  $\text{spec}(R) \xrightarrow{\sim} \text{spec}(\mathcal{O})$ , under which  $\eta$  corresponds to the usual reduced norm.

# Factorization of divisorial one-sided ideals

Asano, Murata (1953)

Let  $I \in \mathcal{I}_v(\alpha)$ . Then:

$$I = M_1 \cdot_v \dots \cdot_v M_m \quad \text{with } M_1, \dots, M_m \in \mathcal{I}_v(\alpha) \text{ maximal integral.}$$

1. If also  $M_1 \cdot_v \dots \cdot_v M_m = N_1 \cdot_v \dots \cdot_v N_n$  then  $m = n$ .
2. There exist a permutation  $\sigma \in \mathfrak{S}_m$  s.t.  
 $(\eta(M_1), \dots, \eta(M_m)) = (\eta(N_{\sigma(1)}), \dots, \eta(N_{\sigma(n)}))$ .
3. For every  $\tau \in \mathfrak{S}_m$  there exist max. integral  $M'_1, \dots, M'_m$  with  
 $\eta(M'_i) = \eta(M_{\tau(i)})$  and

$$I = M'_1 \cdot_v \dots \cdot_v M'_m$$

Thus  $\mathcal{I}_v(\alpha)$  takes the place of the free abelian monoid,  $\mathcal{H}_{R^\bullet}$  the place of  $R_{\text{red}}^\bullet = \{aR \mid a \in R^\bullet\}$ .

## Abstract main result (for rings)

### Theorem

Let  $Q$  be a quotient ring, and  $R$  a maximal order in  $Q$  such that

1.  $R$  satisfies the ACC on divisorial left [right]  $R$ -ideals;
2.  $R$  is bounded;
3. The lattice of divisorial fractional left [right]  $R$ -ideals is modular.

**Then  $L(a)$  is finite and non-empty for all  $a \in R^\bullet$ .**

Let  $\mathcal{P} = \{\eta(Ra) \mid a \in Q^\bullet\} \subset \mathbb{G}$ ,  $C = \mathbb{G}/\mathcal{P}$ ,

$$C_M = \{[\eta(I)] \in C \mid I \text{ a maximal integral left } S\text{-ideal, } S \in \alpha\}.$$

Assume further:

4. A divisorial fractional left  $R$ -ideal  $I$  is principal  $\Leftrightarrow \eta(I) \in \mathcal{P}$ .
5. For all  $S \in \alpha$ , and all  $g \in C_M$ , there exists a maximal divisorial left  $S$ -ideal  $I$  with  $[\eta(I)] = g$ .

**Then there exists a transfer homomorphism  $R^\bullet \rightarrow \mathcal{B}(C_M)$ .**

## Obtaining Theorem 1

Let  $K$  be a global field,  $\mathcal{O}$  a holomorphy ring in  $K$ ,  $A$  a central simple  $K$ -algebra, and  $R$  a classical maximal  $\mathcal{O}$ -order.

1.  $R$  noetherian  $\Rightarrow$  ACC on divisorial left [right]  $R$ -ideals.
2. Every left [right]  $R$ -ideal contains an element of  $\mathcal{O}^\bullet \Rightarrow R$  is bounded.
3. Every left [right]  $R$ -ideal is divisorial  $\Rightarrow$  modularity.
4. Bijection between projective class group and  $\mathcal{C}_A(\mathcal{O})$  implies

$$I \text{ stably free} \quad \Leftrightarrow \quad \text{nr}(I) \in \mathcal{P}_A \quad \Leftrightarrow \quad \eta(I) \in \mathcal{P}.$$

Stably free  $\Rightarrow$  free implies that the required condition holds.

5. Analytic number theory: Every class of  $\mathcal{C}_A(\mathcal{O})$  contains infinitely many prime ideals  $\Rightarrow$  last condition satisfied, and  $C_M = C$ .

## On the proof of Theorem 2

If  $K$  is a number field,  $R$  a maximal order in a totally definite quaternion algebra, Theorem 2 is proven by a combinatorial construction of a left  $R$ -ideal  $I$  with suitable factorizations.

Ingredients:

- ▶ A result on the distribution maximal left  $R$ -ideals within the isomorphism classes of left  $R$ -ideals (Kirschmer, Voight; 2010).
- ▶ A result on representation numbers of totally definite quadratic forms (over totally real number fields).

### Proposition

*There exists a totally positive prime element  $p \in \mathcal{O}_K$ , a non-empty subset  $E \subset \{2, 3, 4\}$  and for every  $I \in \mathbb{N}_0$  an atom  $y_I \in R^\bullet$  such that*

$$L_{R^\bullet}(y_I p) = \{3\} \cup (I + E).$$