

Lower bounds on the minimum distance of long codes in the Lee metric

Hugues Randriam, Lin Sok, **Patrick Solé**, Telecom ParisTech

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Outline

1. Motivation
2. Background on algebraic geometry codes
3. Gilbert type bound
4. Asymptotic rate of new constructible codes
5. Comparison
6. Conclusion

Motivation for Lee metric

Lee weight $wt_L(a)$ of a symbol $a \in \mathbb{Z}_q$, $wt_L(a) := \min(a, q - a)$,

Lee weight of $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbb{Z}_q^n$, $wt_L(\mathbf{x}) = \sum_{i=1}^n wt_L(x_i)$

- ▶ Application:
 - ▶ • phase modulation (Berlekamp's book)
 - ▶ • run length limited coding (Roth's book, Siegel's papers)
- ▶ Development of Theory
 - ▶ • generalizing Hamming case (technical!)
 - ▶ • giving constructible methods

Affine space vs projective space

- ▶ n -dimensional affine space over \mathbb{F}_q :

$$\mathbb{A}^n(\overline{\mathbb{F}}_q) := \{(x_1, x_2, \dots, x_n) \mid x_i \in \overline{\mathbb{F}}_q\}.$$

- ▶ n -dimensional projective space over \mathbb{F}_q :

$$\mathbb{P}^n(\overline{\mathbb{F}}_q) := (\mathbb{A}^{n+1}(\overline{\mathbb{F}}_q))^* / \sim = \{[\mathbf{x}] = (x_1 : \dots : x_{n+1}) \mid \mathbf{x} \in \mathbb{A}^{n+1}(\overline{\mathbb{F}}_q)\}$$

with \sim defined by:

$$\forall \mathbf{a}, \mathbf{b} \in \mathbb{A}^{n+1}(\overline{\mathbb{F}}_q), \mathbf{a} \sim \mathbf{b}, \text{ if } \exists \lambda \in \overline{\mathbb{F}}_q^*, \mathbf{a} = \lambda \mathbf{b}.$$

Algebraic curves

Let F be an irreducible homogeneous polynomial in $\overline{\mathbb{F}}_q[X_1, X_2, \dots, X_{n+1}]$

- ▶ A projective algebraic curve defined by F over \mathbb{F}_q is

$$\mathcal{X} := \{(x_1 : \dots : x_{n+1}) \in \mathbb{P}^n(\overline{\mathbb{F}}_q) \mid F(x_1, \dots, x_{n+1}) = 0\},$$

- ▶ The zeros of F with coordinate x_i in \mathbb{F}_q are called rational points.
- ▶ The zeros of F with the last coordinate 0 are called points at infinity.

Example

Let $F(X, Y, Z) = X^3 + XZ^2 + Z^3 + YZ^2 \in \overline{\mathbb{F}_2}[X, Y, Z]$.

- ▶ Then the plane projective curve defined by F is

$$\mathcal{X} = \{(x : y : z) \in \mathbb{P}^2(\overline{\mathbb{F}_2}) \mid F^*(x, y, z) = x^3 + xz^2 + z^3 + yz^2 = 0\}.$$

- ▶ There is only one rational point $(1 : 0 : 0)$
- ▶ There is only one point at infinity $(1 : 0 : 0)$.

Divisors

\mathcal{X} : an algebraic curve over \mathbb{F}_q

- ▶ Divisor on \mathcal{X} :

$$D := \sum_{P \in \mathcal{X}} n_P P$$

with $n_P \in \mathbb{Z}$ all zero except finite many

- ▶ Degree of D :

$$\deg(D) := \sum_{P \in \mathcal{X}} n_P \deg(P),$$

where $\deg(P) = |P^\sigma|$ with P^σ as orbit of P under $\text{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q)$.

- ▶ $D = \sum_{P \in \mathcal{X}} n_P P \succcurlyeq D' = \sum_{P \in \mathcal{X}} n'_P P$ if $n_P \geq n'_P$ for all P .

Example

$\mathcal{X} = \{(x : y : z) \in \mathbb{P}^3(\overline{\mathbb{F}_2}) \mid x^3 + xz^2 + z^3 + yz^2 = 0\}$, a projective plane algebraic curve over \mathbb{F}_2

- ▶ points of degree 1 over \mathbb{F}_2 : $(x, y \in \mathbb{F}_2)$
 $P_\infty = (0 : 1 : 0)$
- ▶ points of degree 2 over \mathbb{F}_2 : $(x, y \in \mathbb{F}_{2^2} = \{0, 1, \omega, \bar{\omega}\})$
 $P_1 = \{(0 : \omega : 1), (0 : \bar{\omega} : 1)\}$,
 $P_2 = \{(1 : \omega : 1), (1 : \bar{\omega} : 1)\}$,
where $\omega, \bar{\omega}$ are roots of $y^2 + y = 1$ in \mathbb{F}_{2^2} .
- ▶ $D = 2P_1 + 3P_2 - 7P_\infty$: a divisor on \mathcal{X}
- ▶ $\deg(D) = 2 \cdot 2 + 3 \cdot 2 - 7 \cdot 1 = 3$

Rational functions

Let \mathcal{X} be an algebraic curve defined by F . A rational function on \mathcal{X} is a function $f = g/h$ where f and g are homogeneous polynomials of the same degree with $g \notin \langle F \rangle$.

Rational divisors

Let f be a nonzero rational function on \mathcal{X} .

- ▶ A rational divisor of f : $\operatorname{div}(f) := \sum_{P \in \mathcal{X}} v_P(f)P$.
- ▶ $\operatorname{div}(f) = \sum_{P: \text{zero of } f} v_P(f)P - \sum_{P: \text{pole of } f} (-v_P(f))P$.
- ▶ $\deg(\operatorname{div}(f)) = 0$.

Vector space associated with a divisor

Let G be a divisor on \mathcal{X} .

- ▶ Define $L(G) := \{f \mid f = 0 \text{ or } \operatorname{div}(f) + G \succcurlyeq \mathbf{0}\}$
- ▶ Dimension of $L(G)$ is denoted by $l(G)$.
- ▶ Genus of \mathcal{X} is $\min\{g \mid l(G) \geq \deg(G) - g + 1\}$.

Consequence of Riemann-Roch Theorem

Let G be a divisor on an algebraic curve \mathcal{X} having genus g . if $\deg(G) > 2g - 2$ then

$$l(G) = \deg(G) + 1 - g.$$

Definitions

- ▶ For two divisors G and $D = P_1 + P_2 + \dots + P_n$ s.t
 $\text{supp}(D) \cap \text{supp}(G) = \emptyset$,
 $L(G) := \{f \mid f = 0 \text{ or } \text{div}(f) + G \geq 0\}$
 $C(D, G) := \{(f(P_1), f(P_2), \dots, f(P_n)) \mid f \in L(G)\}$, the
algebraic geometry code
- ▶ $[n, k]_q$: linear (Lee) code of length n and dimension k over \mathbb{F}_q
- ▶ For a genus g , $N_q(g)$: the largest number of rational points
- ▶ $A(q) := \limsup_{g \rightarrow \infty} \frac{N_q(g)}{g}$, the Ihara function

Definitions

C_i : $[n_i, k_i]_q$ of Lee distance $d_L(C_i)$ such that $n_i \rightarrow \infty$.

- ▶ Rate: $R = \limsup_{i \rightarrow \infty} \frac{k_i}{n_i}$.
- ▶ Relative Lee distance: $\delta = \limsup_{i \rightarrow \infty} \frac{d_L(C_i)}{n_i^s}$,
with $s = \lfloor q/2 \rfloor$.

Asymptotic rates of AG codes

Theorem

There are families of geometric codes over \mathbb{F}_Q with rate \mathcal{R} and relative Hamming distance Δ satisfying

$$\mathcal{R} + \Delta \geq 1 - \frac{1}{A(Q)}.$$

Asymptotic rate of AG code

- ▶ Theorem (Tsfasman-Vladut-Zink 1981)

If Q is a square then

$$\mathcal{R} + \Delta \geq 1 - \frac{1}{\sqrt{Q} - 1}.$$

- ▶ Theorem (Drinfeld-Vladut 1983)

For any Q ,

$$A(Q) \leq \sqrt{Q} - 1.$$

Asymptotic rate of AG code

$$\mathcal{R} + \Delta \geq 1 - \frac{1}{A(Q)}.$$

- ▶ To get a lower bound for \mathcal{R} , we need the exact value of $A(Q)$ or a lower bound for $A(Q)$.
- ▶ If Q is a square then $A(Q) = \sqrt{Q} - 1$.
- ▶ For Q being prime, are there any methods to calculate $A(Q)$ or to lower-bound $A(Q)$?

Gilbert type bound

Theorem (Astola 1984)

If $q = 2s + 1$, then $R(\delta) \geq 1 + \log_q \alpha \beta^{\delta s}$, where α, β are defined by

$$\alpha + 2\alpha \sum_{i=1}^s \beta^i = 1,$$

$$\alpha \sum_{i=1}^s i \beta^i = \frac{\delta s}{2}$$

Construction methods

- ▶ Concatenation
- ▶ Victoria
- ▶ Victoria+descent of the base field

Concatenation

Proposition

Let C_1 and C_2 be an $[N, K, D]_{q^k}$ and $[n, k]_q$ code with Lee distance d_L , respectively. Let Φ be a map defined by

$$\Phi : \mathbb{F}_{q^k} \longrightarrow C_2,$$

and

$$\Phi^* : (\mathbb{F}_{q^k})^N \longrightarrow C_2, \text{ s.t. } \Phi^*(v_1, \dots, v_N) = (\Phi(v_1), \dots, \Phi(v_N)).$$

Then $C = \Phi^*(C_1)$, called concatenated code, is an $[Nn, Kk]_q$ code with Lee distance Dd_L .

We call C_1 the outer code and C_2 the inner code.

Concatenation bound

► Proposition

The rate R and the relative Lee distance δ of the concatenated code satisfy

$$\frac{R}{k/n} + \frac{\delta s}{d_L/n} \geq 1 - \frac{1}{q^{k/2} - 1}.$$

► Corollary

For each prime $p \geq 7$ and every integer $1 \leq t \leq (p+1)/2$, such that p is congruent to $t+1 \pmod{2}$, there is a family of Lee codes over \mathbb{Z}_p with rate R and relative Lee distance δ satisfying

$$\frac{R(p-1)}{p-1-t} + \frac{\delta s(p-1)}{2t} \geq 1 - \frac{1}{p^{(p-t-1)/2} - 1},$$

Victorian construction

- ▶ Take $G = rP$, i.e.
 $C(D, rP) := \{(f(P_1), f(P_2), \dots, f(P_n)) \mid f \in L(rP)\}$.
Then
- ▶ • f has no pole except P whose order is at most r and
- ▶ • the number of zeros of f is at most r .
- ▶ The occurrence of $f(P_i)$ in the codeword of $C(D, rP)$ is at most r times.
- ▶ Hence the minimum Lee distance d_L of $C(D, rP)$ is lower bounded by the Lee weight of a word whose entries are filled up with the first small Lee weights.

Victorian construction

Construct a word $\mathbf{a} = (a_1, a_2, \dots, a_n) \in \mathbb{F}_q^n$ as follows:

- ▶ the first components a_i with $0^r, (\pm 1)^r, \dots, (\pm M)^r$,
- ▶ the remaining components with $(M + 1)$.
- ▶ Hence $d_L \geq wt_L(\mathbf{a})$.

Victorian bound

► **Theorem (Wu-Kuijper-Udaya 2007)**

Given an algebraic curve of genus g over \mathbb{F}_q having at least $n + 1$ rational points, there are codes of parameters $[n - 1, r - g]$ over \mathbb{F}_q with Lee distance

$$d_L \geq \frac{n^2 - r^2}{4r},$$

for any integer r in the range $(2g - 2, n)$.

► **Corollary**

For a family of curves of genus $g \sim \gamma n$, the rate R of the attached family of codes of relative distance δ is

$$R \geq -\gamma - 2\delta s + \sqrt{4\delta^2 s^2 + 1}.$$

Construction using descent of the base field

Let p be an odd prime and $\{1, \alpha\}$ a basis of \mathbb{F}_{p^2} over \mathbb{F}_p .

- ▶ Then $\mathbb{F}_{p^2} = \mathbb{F}_p \cdot 1 + \mathbb{F}_p \cdot \alpha \cong \mathbb{F}_p \times \mathbb{F}_p$.
- ▶ We identify a word $c \in (\mathbb{F}_{p^2})^n$ with a word $\tilde{c} \in (\mathbb{F}_p)^{2n}$.
- ▶ We identify an $[n, k]_{p^2}$ code C with an $[2n, 2k]_p$ code \tilde{C} .
- ▶ We extend the definition of the Lee weight to \mathbb{F}_{p^2} by setting the weight of a symbol $z = x + y\alpha \in \mathbb{F}_{p^2}$ (where $x, y \in \mathbb{F}_p$) as

$$wt_L(z) = wt_L(x) + wt_L(y).$$

Construction using descent of the base field

The minimum Lee distance d_L of the $[n, k]_{p^2}$ code C is lower-bounded $wt_L(a)$ where $a = (a_1, a_2, \dots, a_n) \in (\mathbb{F}_{p^2})^n$ is constructed as follows:

- ▶ all symbols $z \in \mathbb{F}_{p^2}$ of Lee weight $0, 1, \dots, M$ occur in a exactly r times each
- ▶ some symbols of Lee weight $M + 1$ could occur in a , but not more than r times each, and at least one of them less than r times
- ▶ no symbol of Lee weight greater than $M + 1$ occur in a

Lower bounds on minimum Lee distance

Theorem

$$\text{Let } M = \begin{cases} \left\lfloor \frac{1}{2}(-1 + \sqrt{2n/r - 1}) \right\rfloor & \text{if } 1 \leq n/r \leq \frac{p^2+4p-3}{2} \\ \left\lfloor p - \frac{1}{2}(1 + \sqrt{2p^2 + 1 - 2n/r}) \right\rfloor & \text{if } \frac{p^2+4p-3}{2} < n/r \leq p^2. \end{cases}$$

Then there are codes of parameters $[2(n-1), 2(r-g)]$ over the prime field \mathbb{F}_p with Lee distance d_L lower bounded by

$$\begin{cases} (M+1)n + \frac{(M+1)(2M^2+4M+3)}{3} r & \text{if } n/r \leq \frac{p^2+4p-3}{2} \\ (M+1)n + \frac{2(M+1)(2M^2+4M-6pM-6p+3p^2)-p^3+p}{6} r & \text{if } n/r > \frac{p^2+4p-3}{2}. \end{cases}$$

$$\text{Moreover, } d_L \geq \frac{n-r}{3} \sqrt{\frac{2n-r}{r}}.$$

Lower bounds on rate

Corollary

Let $\gamma = \frac{1}{p-1}$, R the code rate and δ relative distance. Then

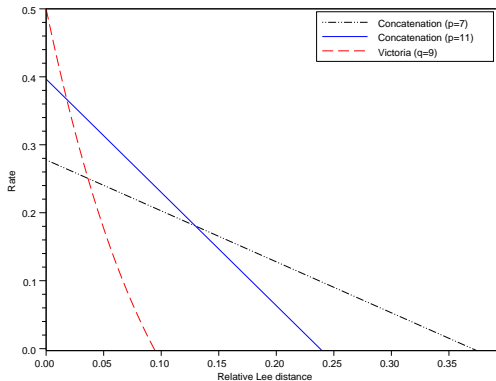
$$R \geq \begin{cases} 1 - 2\delta - \gamma & \text{if } 0 \leq \delta \leq \frac{2}{5} \quad (p \geq 3) \\ \frac{1}{3}(1 - \delta) - \gamma & \text{if } \frac{2}{5} \leq \delta \leq \frac{10}{13} \quad (p \geq 5) \\ \text{etc.} & \\ c_M - d_M\delta - \gamma & \text{if } C(M) \leq \delta \leq C(M+1) \quad (p \geq 2M+3) \end{cases}$$

where $c_M = \frac{3}{2M^2+4M+3}$, $d_M = \frac{6}{(M+1)(2M^2+4M+3)}$, $C(M) = \frac{M+1}{2} - \frac{(M+1)(2M^2+4M+3)}{6(1+2M(M+1))}$.

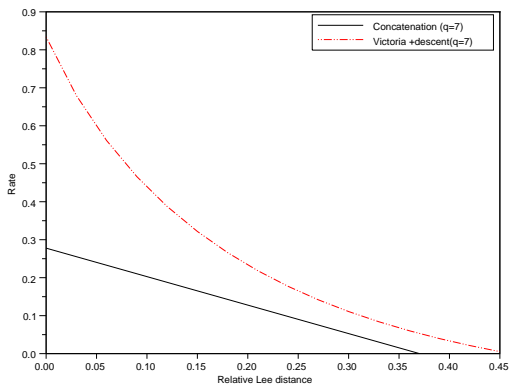
Moreover, $R \geq \begin{cases} \left(\frac{-v-\sqrt{\Delta}}{2}\right)^{1/3} + \left(\frac{-v+\sqrt{\Delta}}{2}\right)^{1/3} + \frac{4}{3} - \gamma & \text{if } \Delta \geq 0 \\ 2\sqrt{\frac{-u}{3}} \cos\left(\frac{1}{3} \cos^{-1}\left(-\sqrt{\frac{27v^2}{-4u^3}}\right) + \frac{2\pi}{3}\right) + \frac{4}{3} - \gamma & \text{if } \Delta < 0 \end{cases}$

with $\Delta = 6912\delta^6 + 2112\delta^4 - \frac{16\delta^2}{3}$, $u = 36\delta^2 - \frac{1}{3}$ and $v = (48\delta^2 - \frac{2}{7})$.

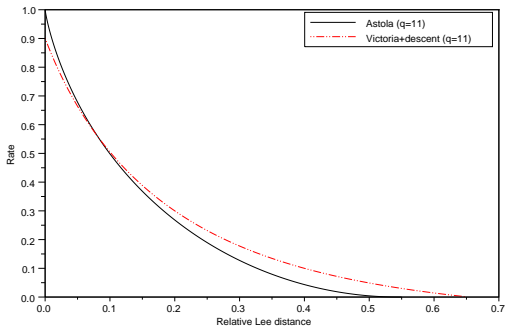
Concatenation vs Victoria



Concatenation vs Victoria+descent



Astola vs Victoria+descent



Thank you!