

# Avenues of research for codes over rings

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## Original Coding Question

What is the largest number of points in  $\mathbb{F}_2^n$  such that any two of the points are at least  $d$  apart, where

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**Linear version:** What is the largest dimension of a vector space in  $\mathbb{F}_2^n$  such the weight of any non-zero vector is at least  $d$ , i.e. what is the largest  $k$  such that a  $[n, k, d]$  binary code exists?

## Modified Coding Question

What is the largest number of points in  $A^n$ , where  $A$  is some algebraic structure, such that the weight of any non-zero vector is at least  $d$ , where the weight is appropriate for the algebraic structure?

## Examples

- ▶  $A = \mathbb{Z}_{2k}$  and weight is the Euclidean weight,  
 $wt(\mathbf{c}) = \sum \min\{\mathbf{c}_i, 2k - \mathbf{c}_i\}^2$ .

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- ▶  $A$  is any ring and the weight is Hamming weight,  
 $wt(\mathbf{c}) = |\{i \mid \mathbf{c}_i \neq 0\}|$ .
- ▶  $A$  is a ring and the weight is the Homogenous weight, that is a function  $w : R \rightarrow \mathbb{Q}$  such that
  - ▶  $w(0) = 0$
  - ▶ Whenever  $R^{\times}x = R^{\times}y$  then  $w(x) = w(y)$ .
  - ▶ There is a constant  $\gamma \in \mathbb{Q}$  such that

$$\frac{1}{|R|} \sum_{y \in R^{\times}x} w(y) = \gamma \text{ for all } x \in R \setminus \{0\}. \quad (1)$$

# Examples

- ▶  $A$  is  $\mathbb{Z}_4$  or  $\mathbb{F}_2[u_1, u_2, \dots, u_k]/\langle u_i^2 = 0, u_i u_j = u_j u_i \rangle$  or  $\mathbb{F}_2[v_1, v_2, \dots, v_k]/\langle v_i^2 = 0, v_i v_j = v_j v_i \rangle$  and weight is Lee weight, that is the Hamming weight of its image under the associated Gray map.



# Gray Maps

$\mathbb{Z}_4$	$\mathbb{F}_2 + u\mathbb{F}_2$	$\mathbb{F}_2 + v\mathbb{F}_2$	$\mathbb{F}_2^2$
0	0	0	00
1	1	$v$	01
2	$u$	1	11
3	$1 + u$	$1 + v$	10

# Big question 0

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We want the MacWilliams Theorems to hold in order to apply the tools of Coding Theory.

## Some Definitions

Let  $R$  be a ring. A linear code  $C$  over  $R$  of length  $n$  is a submodule of  $R^n$ .

$$L(C) = \{v \mid [v, w] = 0 \text{ for all } w \in C\}$$

$$R(C) = \{v \mid [w, v] = 0 \text{ for all } w \in C\}$$

If  $R$  is commutative then  $R(C) = L(C) = C^\perp$ .

$$W_C(x_0, x_1, \dots, x_a) = \sum_{c \in C} \prod_{i=1}^n x_{c_i}$$

# MacWilliams Theorems 1

## Theorem

**(MacWilliams 1)** (A) *If  $R$  is a finite Frobenius ring and  $C$  is a linear code, then every hamming isometry  $C \rightarrow R^n$  can be extended to a monomial transformation.*

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**(MacWilliams 1)** (A) *If  $R$  is a finite Frobenius ring and  $C$  is a linear code, then every Hamming isometry  $C \rightarrow R^n$  can be extended to a monomial transformation.*

(B) *If a finite commutative ring  $R$  satisfies that all of its Hamming isometries between linear codes allow for monomial extensions, then  $R$  is a Frobenius ring.*

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(B) *If a finite commutative ring  $R$  satisfies that all of its Hamming isometries between linear codes allow for monomial extensions, then  $R$  is a Frobenius ring.*

By an example of Greferath and Schmidt MacWilliams I does not extend to quasi-Frobenius rings.

## MacWilliams Theorems 2

Let  $\chi$  be a generating character associated to the ring  $R$  and let  $T_{a,b} = \chi(ab)$ , with  $C^\perp$  the standard orthogonal.

### Theorem

**(MacWilliams 2)** *Let  $C$  be a linear code over a finite commutative Frobenius ring  $R$  then*

$$W_{C^\perp}(X_a) = \frac{1}{|C|} W_C(T \cdot X_a)$$



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MacWilliams relations exists for non-commutative rings for the left and right orthogonal by a slight alteration of the matrix  $T$ .

J.A. Wood, Duality for modules over finite rings and applications to coding theory, American Journal of Mathematics, 121, 1999, 555-575.

## Standard techniques for commutative rings

- ▶ Any commutative Frobenius ring is isomorphic via the Chinese Remainder Theorem to a product of Frobenius local rings.
- ▶ Any commutative principal ideal ring is isomorphic via the Chinese Remainder Theorem to a product of chain rings. For example,  $\mathbb{Z}_k$  is isomorphic to  $\mathbb{Z}_{p_1^{e_1}} \times \cdots \times \mathbb{Z}_{p_s^{e_s}}$ .
- ▶ We describe by CRT this isomorphism so that  $C = CRT(C_1, C_2, \dots, C_s)$ .

# Singleton Bound

Let  $C$  be a subset of  $A^n$  where  $A$  is any alphabet, and  $d(C)$  is the minimum Hamming distance between any two distinct vectors then

$$d(C) \leq n - \log_{|A|}(C) + 1.$$

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This combinatorial bound is equivalent to a number of interesting combinatorial questions involving mutually orthogonal Latin squares (and hypercubes) and arcs of maximal size in projective geometry.

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# MDR and MDS Codes

## Theorem

*Let  $C_1, C_2, \dots, C_k$  be codes over  $R_i$ , where the  $R_i$  are the component rings via the CRT. If  $C_i$  is an MDR code for each  $i$ , then  $C = CRT(C_1, C_2, \dots, C_k)$  is an MDR code. If  $C_i$  is an MDS code of the same rank for each  $i$ , then  $C = CRT(C_1, C_2, \dots, C_k)$  is an MDS code.*

S.T. Dougherty, Jon-Lark Kim and Hamid Kulosman, MDS codes over finite principal ideal rings, *Designs, Codes and Cryptography*, Volume 50, 77-92, 2009.

# MDS

## Theorem

*Let  $R$  be a finite principal ideal ring all of whose residue fields satisfy  $|R/\mathfrak{m}_i| > \binom{n-1}{n-k-1}$  for some integers  $n, k$  with  $n - k - 1 > 0$ . Then there exists an MDS  $[n, k, n - k + 1]$  code over  $R$ .*

S.T. Dougherty, Jon-Lark Kim and Hamid Kulosman, MDS codes over finite principal ideal rings, Designs, Codes and Cryptography, Volume 50, 77-92, 2009.

# Big Question 1

Construct and classify MDR codes over rings (commutative and non-commutative).

That is, determine precisely when they exist.

# Self-Dual Codes

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Self-dual codes are interesting algebraic objects in that their weight enumerators are held invariant by the MacWilliams relations.

# Self-Dual Codes

## Theorem

*Let  $R$  be a finite Frobenius ring whose residue fields (with respect to the maximal ideals) are  $\mathbb{F}_1, \dots, \mathbb{F}_k$ . Then*

*(1) If  $\mathbb{F}_i$  has characteristic  $1 \pmod{4}$  for all  $i$  then there exist free self-dual codes of all even lengths.*

*(2) If for each  $i$ ,  $\mathbb{F}_i$  has characteristic  $1$  or  $3 \pmod{4}$ , then there exist free self-dual codes of all lengths congruent to  $0 \pmod{4}$ .*

Self-Dual codes over Frobenius Rings, with J.L. Kim, H. Kulosman and Hongwei Liu, Finite Fields and their Applications, Volume 16, January 2010, 14-26.

## Big Question 2

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- ▶ Find interesting algebraic and number theoretic connections for self-dual codes over non-commutative rings.
- ▶ Give constructions of self-dual codes over non-commutative rings.

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Does there exist a binary  $[72, 36, 16]$  Type II self-dual code (Type II means all the weights are  $0 \pmod{4}$ )?

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This question has been open for over 40 years, in reality close to 50 years. It is related to a number of combinatorial conjectures. Every coding theory trick in the book has been tried – some new technique is necessary to solve it.

Monetary prizes and a complete description can be found at:

<http://academic.scranton.edu/faculty/DOUGHERTYS1/72.htm>

# Cyclic Codes

Cyclic codes are an extremely important class of codes.

A code  $C$  is cyclic if

$$(a_0, a_1, \dots, a_{n-1}) \in C \implies (a_1, a_2, \dots, a_{n-1}, a_0) \in C.$$

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A cyclic code is an ideal in  $R[x]/\langle x^n - 1 \rangle$ .

# Cyclic Codes

Cyclic codes are classified by finding all ideals in  $R[x]/\langle x^n - 1 \rangle$ .

Generally easy for codes over fields, namely find the divisors of  $x^n - 1$ . Much harder for codes over rings, for example, cyclic codes over  $\mathbb{Z}_4$  of even length (i.e. length not relatively prime to characteristic of the ring) is quite complicated.

S.T. Dougherty and San Ling, Cyclic codes over  $\mathbb{Z}_4$  of even length, Designs, Codes and Cryptography, May 2006, 127-153.



## Big Question 4

There is a wealth of open problems here for the talented ring theorist. That is, determine the ideals in  $R[x]/\langle x^n - 1 \rangle$ .

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More generally, determine the ideals in  $R[x]/\langle x^n - a \rangle$ , where  $a$  is some constant. This is classifying constacyclic codes.

## Big Question 4

In complete generality, study the group ring. For example, the cyclic group gives cyclic codes. This is only started to be studied in the commutative case.

# Non-Hamming Metric

Example: Rosenbloom-Tsfasman Metric

1 0 1 0

0 1 0 1

1 0 0 0

0 1 0 0

Distance to **0** is  $3 + 4 + 1 + 2 = 9$ .

# Rosenbllom-Tsfasman

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MDS codes with respect to this metric are related to uniform distributions and  $(T, M, S)$ -nets.

This notion has been generalized to using a poset to determine the metric.

## Big Question 5

Find corresponding coding theoretic results for non-Hamming metrics. As usual most results are for a commutative alphabet.



# Infinite rings

Codes have also been defined over the  $p$ -adics. The benefit here is that they can then be projected down to codes over the finite ring  $\mathbb{Z}_p^e$ .

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This notion has been further generalized to other infinite rings where there is a natural projection to a family of finite rings.

## Big Question 6

Find interesting infinite rings with canonical projections to finite rings and develop coding theory over these rings.

# Questions